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Abstract	<p>A unique feature of human social structures is that they emerge from symbolic interaction. For this reason, self and identity processes are foundational to the study of social inequality. This chapter reviews research that demonstrates support for the relationship between identity and inequality at three levels of analysis: person, interaction, and culture. At each of these levels, identity meanings associated with <i>value</i> and <i>power</i> demonstrate particular influence. Forms of inequality linked to identity include poor physical and psychological health, asymmetrical patterns of engagement in face-to-face encounters, and patterns of unequal resource distribution defined by status hierarchies. There is also evidence to suggest that the self may function as a mechanism in converting social interaction into higher order patterns of equality and inequality. While this research may help explain processes associated with reproduction and resistance at separate levels of analysis, theory and research linking self and identity to social inequality is not well integrated. Understanding how self and identity processes operate at different levels of analysis may facilitate a more comprehensive explanation of social inequality.</p>	
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Self, Identity, and Social Inequality

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Peter L. Callero

1 Among sociologists who study inequality there
 2 is a good deal of agreement on the broad conceptual and analytical parameters of the field.
 3 Social inequality is typically conceptualized as a
 4 relatively durable pattern of institutions and social
 5 relationships in which valued resources are distributed unevenly across social groups and social
 6 categories. Consistent with this definition, empirical analyses generally focus on (1) the processes
 7 by which resources obtain value; (2) the rules for allocating resources; and (3) the mechanisms
 8 linking individuals to resources (Grusky 2007). It is worth noting, however, that most theory and
 9 research in this tradition explores the causes and consequences of inequality without explicitly theorizing the self or identity (e.g., Neckerman and Torche 2007; see Hunt 2003 for a similar conclusion). Surprisingly, this is the case even for a large segment of social psychological research where the dynamics of social interaction are of central concern and the “mechanisms linking individuals to resources” are a primary focus (Hollander and Howard 2000). Given that significant advances in our understanding of social inequality have been achieved without explicitly theorizing the self, it is reasonable to ask if a more formal and deliberate incorporation of self-processes is even necessary. Might self and identity be tangential or peripheral to the production and reproduction of inequality?

10 There are at least three basic arguments in support of a more intentional examination of self and identity in the study of social inequality. I briefly summarize these arguments before developing them more fully in the review and analysis that follows. First, some sociologists study inequality because the uneven distribution of resources in society is assumed to be harmful to human dignity. While this reason is rarely explicit, there is a widely shared presumption that actual persons are injured both physically and psychologically by systems of inequality. To be sure, the question of human dignity is more commonly problematized in some theoretical traditions than others. Critical Theory and Marxist traditions, for example, are unequivocal in their advocacy of equality and concern for the preservation of human dignity (cf., Agger 1991; Wright 2010), and most feminist traditions also begin with the position that equality and dignity of persons is preferred over inequality and indignity (cf., DeVault 1996). But even in traditions that are more positivistic in orientation, strict ethical standards for conducting research are in place to protect human dignity, and most sociologists display a formal professional commitment to protocols that avoid the exploitation of human subjects. In other words, the stratification of people in society is widely assumed to be morally and ethically distinct from the layering of sedimentary rock, the stratification of basal cells, or the dominance hierarchy in a wolf pack. Thus, the self matters because it emerges from persons, and persons have a common interest in preserving human dignity

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and advancing the values of equality, justice and solidarity.

The second argument in support of a more intentional examination of self and identity in the analysis of inequality is that the meanings and social practices that frame and define interaction are expressed in terms of social identities, and many categories of identity are the product of inequality processes. This means that social inequality cannot be adequately or fully addressed without considering the meanings of value and power attached to person labels. Man and woman, black and white, gay and straight, employee and owner, are not simply categories of difference, they are the symbolic means for doing inequality (see Wilkins et al., this volume).

Third, the self matters in the study of inequality because it operates as a social process, or mechanism, that converts social interaction into higher order patterns of resource distribution. The self is more than an outcome variable that happens to be correlated with inequality; it is instrumental in the generation, reproduction, and alteration of the social structures that sustain inequality.

The following review and analysis of self, identity, and inequality is organized around these three arguments. In the first section, I clarify the conceptual boundaries that define person, self and identity, emphasizing the particular importance of personhood in the study of inequality. In the second section, I examine identity as a product of inequality at three different levels of analysis (person, interaction, and culture), and review research on value and power as dimensions of identity meaning. In the final section I continue to differentiate among three levels of analysis as I explore the self as a process in the production of inequality.

Person, Self, Identity, and Inequality

Person, self, and identity are interdependent concepts with a rich, complex, and sometimes messy intellectual heritage. Clarifying the boundaries among the three overlapping concepts is a neces-

sary first step toward a more coherent explanation of their contribution to the study of inequality.

For most social psychologists, the concept of “person” is synonymous with “human being” or “individual” and is typically viewed as the corporal slate upon which self and identity are written. But this narrow view of personhood in relation to self and identity misses a key dimension of social life. According to Cahill (1998, p. 131) a proper sociology of the person is one that focuses on “the publically visible beings of intersubjective experience,” as well as the cultural interpretations of what it means to be a person in different societies. This emphasis actually has a long history in sociology and anthropology dating back to the work of Durkheim and Mauss (cf., Carrithers et al. 1985). Durkheim ([1915] 1965, pp. 305–306), for example, saw personhood as a collective representation of the individual; a social fact that reflects a shared understanding of what it means to be a human being in a particular time and place. Under this conceptualization, the definition of person is conditioned by the dominant folk psychology of the culture. Thus, the assumption that persons are unique, self-reliant individuals may be characteristic of modern, western representations, but it is not a definition consistent with representations of persons in pre-modern, nonwestern societies.

Goffman extended the Durkheimian approach to personhood by investigating the interactional process by which the specific cultural representation of a person is socially produced. Indeed, Goffman’s *interaction order* is primarily concerned with the collaborative manufacturing of persons. As Cahill (1998, p. 139) points out, for Goffman “the public person is not made in the image of a unique self; rather, an interpretive picture of a unique self is made in the image of the public person.” This is a distinction that is not always appreciated by social psychologists, and it is a limitation that is due in part to Goffman’s own inconsistent use of the terms individual, person, self and identity. Nevertheless, Goffman (1959, p. 253) was clear in asserting that the corporal body is simply a peg on which the socially manufactured person is to be temporarily hung. Social identities, on the other hand, are the means

of categorizing persons in terms considered appropriate and consistent with the shared assumption of what is required to be a person.

Still, it would be a mistake to take Goffman's peg analogy too far. It is one thing to recognize historical and cultural variation in the production of persons, and quite another to conclude that there are no essential qualities of human persons beyond physiology. As Smith (2010, pp. 277–314) has recently stressed with regard to the question of personhood, we must be careful not to confuse “how things happen” from “what things are.” The cultural beliefs about what constitutes a person, and the means of socially manufacturing the social category of person, are certainly a core concern of sociological social psychology, but the socially constructed category of person is not independent of the objective nature of what it means to be human. For Smith (2010, pp. 25–89), therefore, a conceptualization of personhood should also recognize that human capacities for consciousness and self-reflection are emergent from physical bodies that serve as the center of subjective experience and the hub of a coherent structure. Persons are inescapably social and subject to the power of social forces, but as human persons we are also agents who are (at least partly) responsible for causing our own actions. In this sense, a person is both a socially constructed category *and* an acting organism with uniquely human capacities. Self and identity are two uniquely human capacities that emerge from persons.

For social psychologists working in the tradition of symbolic interactionism, *self* refers to the unique potential of persons to engage in symbolic interaction, to take the perspective of other, and to produce a self-conscious object—an object to itself. Following Mead (1934, p. 140), the *self* is evident in the process of “responding to oneself as another responds to it, taking part in one's own conversation with others, being aware of what one is saying and using that awareness of what one is saying to determine what one is going to say thereafter.” *Identity*, on the other hand, is a product, or outcome of the self-society relationship. Identities are the socially constructed categories that are used to establish

meaningful understandings of persons—both self and other. As such, identities are not universal, but reflect particular historical and situational circumstances (Wiley 1994, pp. 1–3). Sociologists have employed a variety of different terms when referencing identity categories (e.g., label, role, status), have identified a range of different types of identities (e.g., personal, dispositional, situational, institutional), and have invented useful typologies for making conceptual distinctions among these categories (e.g., MacKinnon and Heise 2010). All of these schemes, however, share the core idea that identities are socially contingent constructions that depend upon the self-processes of persons engaged in symbolic interaction (e.g., Howard 2000). Linking personhood to the concepts of self and identity is particularly important for the study of inequality for two reasons. First, it reminds us that self and identity are ultimately embodied. Persons are biological systems, integrated into the natural world and subject to the laws of nature. It is the practical action of human bodies that gives rise to self and identity, and it is the practical activities of physical survival—finding ways to eat, shelter, procreate, and avoid harm—that develop into social structures of inequality. Thus, the material and corporeal reality of persons in community with one another is basic to both the emergence of self and the emergence of inequality. When material resources necessary for survival are unavailable, or are unevenly distributed—scarce for some and hoarded or controlled by others—there are physical and psychological consequences for actual persons.

The second reason for developing a conception of personhood is that it connects self and identity to the problem of human dignity. This is evident in both Goffman's strong constructionist definition of persons, as well as Smith's critical realist position. Take, for instance, the following statement from Goffman (1959, p. 13):

(W)hen an individual projects a definition of the situation and thereby makes an implicit claim to be a person of a particular kind, he automatically exerts a moral demand upon others, obliging them to value and treat him in the manner that persons of his kind have a right to expect.

Here we see Goffman's assertion that establishing the dignity of persons is both a negotiated outcome of social interaction, and a fundamental prerequisite of interaction itself. Under ideal conditions, the joint production of personhood is mutually supportive and balanced. However, control of the means of person production is rarely shared equally among participants, and certain structural arrangements—prisons, mental hospitals, slavery, patriarchy—make it difficult for some individuals to claim personhood and experience dignity. For his part, Goffman was not concerned with the question of whether prisoners, mental patients, slaves, and women, are in fact persons deserving of dignity. Like many sociologists, he avoided questions of ontology—even though such assumptions are implicit in his work.

Smith (2010, p. 435), however, believes it is important to directly address the issue because:

Dignity inheres in the emergent constitution of human personhood, including in the personhood of people who are ignorant of or deny its reality. It is inalienable. It cannot be thought or wished away. It cannot be sold or negated by legal judgment. Dignity exists as a real and ineliminable dimension of human persons, just as liquidity does of water and growth and reproduction do of living organisms.

For Smith, dignity is not a social construction or cultural invention. It is an objective, ontologically real attribute of all human persons. When humans treat others as though they are things and refuse to recognize inherent personhood, dignity is denied. The assumption, therefore, is that personhood is not a matter of degree or a matter of capacity; those who are illiterate, have less ability to reason, or have limitations of sight, hearing or mobility, are still persons and still have dignity (for a related argument see Hodson 2001, pp. 3–21).

This particular conceptualization of persons as dignified, inviolable, and equal has an elective affinity with basic principles of democracy and ideal democratic institutions (Callero 2003; Habermas 1987; Wiley 1994, p. 11). Voting, citizenship, human rights of privacy, life, and liberty begin with an assumption of persons *sui generis*. Similarly, a deliberative democracy requires

symbolic interaction, reason, and empathy—characteristic features of a pragmatic self (Talisso 2005). In contrast, reductionist theories of self, characteristic of postmodernism and much of psychology, struggle to justify democracy and equality on moral grounds. Mead and Dewey voiced a similar critique of reductionist theories from an earlier era and argued “German Idealism served to legitimate monarchy, aristocracy, and serfdom” (Wiley 1994, p. 227). Early American pragmatists battled against social Darwinists, eugenicists, and other biological determinists who used science and specious theories of personhood to justify racial segregation, the subordination of women, and the medical exploitation of physically disabled persons. Clarifying the relationship between person, self and identity is therefore a necessary step in understanding inequality.

Identity as a Product of Inequality

The whole (society) is prior to the part (individual), not the part to the whole; and the part is explained in terms of the whole, not the whole in terms of the part or parts. (George Herbert Mead 1934, pp. 7–8)

For Mead, the self is explained in terms of society, suggesting that the systemic patterns of social inequality observable in society can be used to explain identity. Common sense alone gives credence to this assertion. We experience inequality in and through categories, labels, and classifications that define individuals, groups and collectives, where rewards and resources are predictably and unevenly distributed. Identity categories such as gender, race, and class matter to sociologists because they are profoundly and unmistakably linked to social structures where the ownership and control of labor, land, machines, financial capital, communication media, and other material and symbolic resources are systematically stratified.¹

¹ Difference and inequality are, of course, distinct ideas and we should not assume that classification necessarily produces inequality. However, classification is not neutral and experimental evidence suggests that nominal group

Table 13.1 Identity meanings and forms of inequality at three levels of analysis

Level of analysis	Identity meanings		Forms of inequality
Culture	Value	Power	Patterns of unequal resource distribution defined by cultural status hierarchies; access to cultural capital
	Respect and prestige associated with a generalized identity category	Authority and control associated with a generalized identity category	
Interaction	Situated deference	Situated dominance	Asymmetrical patterns of engagement evident in face-to-face encounters
Person	Self-esteem	Self-efficacy	Poor physical and psychological health; limits on autonomy and freedom

339 Table 13.1 presents an organizing framework
 340 for reviewing research on identity and social in-
 341 equality at three different levels of analysis: cul-
 342 ture, interaction and person.² While these three
 343 levels present a clear analytical distinction, they
 344 are not independent of each other. *Persons* are
 345 defined by identity categories, particular defini-
 346 tions of self and other are negotiated at the level
 347 of *interaction*, and a generalized meaning for an
 348 identity category is shared at the level of *culture*.
 349 On the other hand, the generalized cultural
 350 meaning associated with an identity category is
 351 reproduced and altered by persons engaged in
 352 face-to-face interaction.

353 Here I use the term identity to refer to all cate-
 354 gories of social location that may be employed in
 355 the definition of self and other. The intention is to
 356 capture the full range of sociological approaches
 357 to identity and all possible ways in which iden-
 358 tity expresses inequality. This includes traditional
 359 sociological categories associated with structural
 360 locations, group affiliations, and types of social
 361 relationships (e.g., class position, occupation, na-
 362 tionality, geography, religion, marital status, sex-
 363 uality), categories linked to physical attributes
 364 (e.g., age, race, disability, sex, size), category
 365 labels that are more localized and defined by a

membership (a nascent group identity) may be sufficient in itself to generate bias in favor of the self and prejudice against an other (Tajfel and Turner 1979). This reminds us that the self-process involves not only the symbolic representation, categorization, and naming of self, it also includes the same processes in the direction of other.

² For similar sociologically oriented reviews of scholarship on self and identity that have employed organizing schemes based on levels of analysis see Owens (2010) and Jenkins (2008).

366 person's biography, skill, ability or individual
 367 characteristics (e.g., test scores, athletic prowess,
 368 criminal history), as well as dispositional cate-
 369 gories referencing personality, stereotypes, or slang
 370 classifications (e.g., angry, extrovert, motherly,
 371 wimp). To the extent that a category label can be
 372 used to identify, classify, or indicate a person as
 373 a certain type, it has the potential to be used in
 374 the production and reproduction of inequality. As
 375 Table 13.1 indicates, the manner in which iden-
 376 tity categories are linked to inequality takes a dif-
 377 ferent form depending on the level of analysis.
 378 For this reason, the review of theory and research
 379 that follows is organized in terms of culture, in-
 380 teraction, and person.

The Level of Culture 381

382 Identities have meaning, and the meaning of any
 383 identity can be recognized, accepted, and docu-
 384 mented at several different levels of analysis. At
 385 the cultural level, where language and common
 386 social practices are sustained, the meaning of
 387 an identity is abstract and generalized. What it
 388 means to be a man or woman, black or white, gay
 389 or straight, rich or poor, is part of a common lex-
 390 icon, a cultural tool kit, and a recognizable status
 391 hierarchy (Heise 2007; MacKinnon and Heise
 392 2010). Identity meanings at this level of general-
 393 ity have more or less settled over time, remain
 394 relatively durable, and are difficult to transform.
 395 Yet, the boundaries between identity meanings
 396 are never completely permanent or inflexible;
 397 they are usually intersecting, sometimes contest-
 398 ed, and always open to the possibility of change
 399 over time.

The culturally settled meanings associated with an identity category can vary from a core set of generalized references to a highly specific and particular collection of relatively unique responses. But at both ends of this spectrum we can find theory and empirical evidence indicating that meanings are organized along a limited number of dimensions. Osgood et al. (1975), for example, have found three dominant dimensions of meaning (evaluation, potency, and activity) across more than twenty distinct cultural communities. *Evaluation* refers to meanings that offer an appraisal or assessment of an object, person, or event, as being either positive or negative. *Potency* captures meanings that refer to variation in strength or power, while *Activity* references meanings associated with levels of energy or relative liveliness.

Under Affect Control Theory (Robinson and Smith-Lovin 2006; Heise 2007; MacKinnon and Heise 2010; see also Foy et al., this volume), the same three dimensions of meaning have been used to produce “cultural dictionaries” for a range of identities. According to the theory, the culturally shared affective meanings associated with identity categories are experienced as *sentiments* that serve as a generalized standard for assessing the more particular affective meanings of situated interaction. Thus, Langford and MacKinnon (2000) found that meanings associated with male and female identities seem to reflect two different status hierarchies, such that men are seen as more productive and powerful (higher on potency), and women are viewed as more caring and positive (higher on evaluation), while the *activity* dimension was found to be less different. In a broader sociological context this is not a surprising result given that *evaluation* and *potency* are analogous to *value* and *power*; two concepts that have a long and diverse history in the study of inequality (e.g., Castells 2010; Newman 2007; Sennett 2003; Thye 2000; Weber 1946). Indeed, it is safe to say that in the case of social inequality, the two most important meanings for any identity are those associated with value and power. For this reason, Table 13.1 limits the meanings associated with an identity to these two dimensions.

At the cultural level, the *value* of an identity is typically associated with differing levels of respect, prestige and honor. We see this, for example, in the unequal value historically associated with the categories of “heterosexual” and “homosexual”. Persons defined as “gay” or “lesbian” often receive less respect than persons categorized as “straight”. The *power* of an identity, on the other hand, is defined by different levels of authority and control. In the United States, for example, the racial category of white has historically been associated with more power than the racial category of “black.” In general, to be defined as white means greater authority and control in comparison to persons defined as black. While value and power are highly correlated, these two dimensions of meaning are sufficiently distinct to warrant separate analysis. For example, we can think of identities defined by occupational categories with different levels of prestige. Thus, when considered in the abstract (i.e., action and context is unspecified), the identities of teacher and farmer receive relatively high ratings in surveys of occupational prestige and are consistently ranked above the identities of banker and politician in this regard (e.g., Nakao and Treas 1994). On the other hand, banker and politician are under most conditions viewed as being more powerful and controlling.

Here it is important to emphasize the distinction between power as a dimension of meaning, and power as the actual accomplishment of dominance and control. (For a review of different sociological understandings of power see Thye and Kalkhoff in this volume). As Table 13.1 suggests, the meaning of an identity (powerful to powerless), has implications for the actual control of valued resources; persons who hold powerful identities will be more likely to control and dominate. But an identity category has a degree of independence separate from any particular person. Institutional roles such as President, General, or CEO, are recognized as “existing” in an organization even when the position is vacant. Individual occupants of the position might display different styles of control and may even redefine the meaning of the position, but this does not erase the fact that there are clear institutional and cul-

495 tural limits as to how the position is defined. The
 496 same is also true for less formal identity cate-
 497 gories such as gender or race, where the meaning of
 498 an identity category can limit or enhance oppor-
 499 tunities for exercising power. In this sense, iden-
 500 tities are resources, or tools, for doing inequality.
 501 Power is not completely symbolic, but power has
 502 a symbolic component associated with identity
 503 categories. For this reason, it is important to rec-
 504 ognize power as both a dimension of meaning as-
 505 sociated with an identity category, as well as the
 506 accomplishment of control and domination.

507 Where do the generalized and relatively du-
 508 rable cultural meanings of power and value origi-
 509 nate? For most identity categories the answer to
 510 this question is buried under layers of history, but
 511 Tilly (2005) offers a plausible theory. He sug-
 512 gests that when two different groups of people
 513 encounter each other for the first time, they each
 514 create labels to mark and identify the other and
 515 establish symbolic group boundaries. But these
 516 identity categories are simply indicators of dif-
 517 ference and do not necessarily cause inequality.
 518 Inequality is produced when repeated transac-
 519 tions across group boundaries regularly advan-
 520 tage one side and at the same time reaffirm the
 521 identity boundary. In transactions of exploitation,
 522 for example, members of one side of the bound-
 523 ary enlist effort from members of the other side
 524 to secure a scarce resource, but fail to deliver in
 525 return the full value of the other group's effort.
 526 The exploiters then use part of the surplus value
 527 to produce symbolic markers and material condi-
 528 tions that reinforce the original boundary. Think
 529 for example of the boundary between workers
 530 and owners of a factory. With the wealth gener-
 531 ated by the factory, owners and managers can in-
 532 vest in expensive suits, office buildings, security
 533 guards, media messaging, and political relation-
 534 ships that serve to highlight the difference be-
 535 tween the two groups, and at the same time mark
 536 one as more valued and powerful than the other.

537 Within social psychology, status construc-
 538 tion theory has produced a more localized and
 539 interactional theory of how nominal categorical
 540 differences between people are converted into
 541 differences of status (value). Using a cumulative
 542 program of empirical investigations (mostly lab-

543 oratory experiments), researchers have demon-
 544 strated that the structural conditions under which
 545 people encounter one another can shape beliefs
 546 associated with the value of different identity
 547 categories (Ridgeway and Nakagawa, this vol-
 548 ume). In addition, when locally produced beliefs
 549 are carried into other situations, they may be dif-
 550 fused and become widely held cultural beliefs
 551 (Ridgeway and Erickson 2000). When this hap-
 552 pens, status beliefs serve to reproduce inequality
 553 through processes that affirm the relative value of
 554 different identity categories. The end result is the
 555 establishment of status hierarchies and a form of
 556 cultural capital linked to identity (Johnson et al.
 557 2006).

558 To be sure, the development of cultural mean-
 559 ing systems is a dynamic process that is framed
 560 by the higher-level constraints of macro-level so-
 561 cial structures associated with the institutions and
 562 practices of a political economy, including lega-
 563 cies of colonial rule, forced enslavement, patriar-
 564 chy, and the accumulation and concentration of
 565 capital by multinational corporations. But within
 566 these larger social arrangements the value and
 567 power of identity categories is learned, shared,
 568 defended, challenged, and altered in face-to-face
 569 encounters among people in identifiable social
 570 settings. This is identity at the level of interac-
 571 tion.

572 The Level of Interaction

573 At the level of interaction, the value of an identity
 574 is experienced in terms of the relative amount of
 575 deference granted to a particular actor in a face-
 576 to-face encounter. Power on the other hand is
 577 experienced in the relative ability of one actor
 578 to exert dominance over others in specific situ-
 579 ations. Both identity meanings contribute to the
 580 establishment of an asymmetrical relationship
 581 where the holder of the less powerful and less
 582 valued identity is more likely to be ignored, in-
 583 timidated, dismissed, and at the same time will
 584 be expected to produce an interactive demeanor
 585 that is obsequious, reverential, and submissive.

586 Specific examples of inequality at the level
 587 of interaction are well documented and diverse

(Anderson and Snow 2001; Link and Phelan 2001; Major and O'Brien 2005). Derber (2000) shows, for instance, that the amount of attention someone receives in a social setting is a reflection of the relative power and value of their situated identity. Similarly, Snow and Anderson (1993) demonstrate in their study of the homeless that life on the street is associated with a type of social invisibility, where recognition is often in the form of negative attention from a disgusted or angry passerby. Identities with less value and power are also associated with more queuing and waiting (Schwartz 1975), greater emotional labor (Hochschild 1983), an increased likelihood of interruption (Smith-Lovin and Brody 1989), as well as intimidation and threats of violence (Anderson 1999).

The markers of identity, and the cultural meanings associated with identity categories, serve as an initial framework for locating and negotiating the relative power and value of self and other, but the context of the social encounter narrows the field of likely interpretive outcomes. Think of, for example, the different cultural meanings associated with the identity categories of heart surgeon and prison guard. At the generalized level of cultural meanings, the identity of a prison guard is associated with less value and power than the occupational identity of a heart surgeon. But if a heart surgeon is serving time inside a state penitentiary, the prison guard is more likely to enact dominance and achieve deference from the inmate, even if the heart surgeon identity is made salient. Here we can see that the resources associated with a particular setting are key to establishing how value and power are performed. And when the setting is lodged within a more encompassing institutional context, there may be more constraints on the culturally shared meaning of an identity (e.g., Gubrión 1997).

To be sure, the interaction level includes more than the identification of the relative value and power of identity categories. It is also the level at which identity categories are created, negotiated and reproduced. Goffman's dramaturgical approach, for example, draws our attention to an interaction order that includes the rules and normative procedures that actors use to create authentic

identity impressions—both valued and devalued, powerful and powerless. In other words, while the interaction level is the place where the meanings of identity are experienced, it is also where we find the “rules and procedural forms” that are used to sustain value and power in the doing of deference and dominance (Schwalbe and Shay in this volume).

The Person Level

The person level identified in Table 13.1 encapsulates the universal symbolic capacities for reflexivity and role taking that are uniquely human. Analysis at this level focuses on the more or less durable identities that are carried by persons across situations and help structure the meanings, motivations, and dispositions of individual actors. Here we find the identity categories associated with a person's social biography, affiliations with different groups and institutions, and the unique intersections of categories such as race, class, gender, and sexuality (Howard and Renfrow in this volume). It is at the level of the person that the relatively enduring consequences of inequality are both inscribed and scarred onto actual bodies and minds with real, visible consequences for an individual's physical and mental health (Thoits 2010). Thus, persons from lower class positions are generally sicker and stay sick longer than people in higher-class positions. And at all levels of class, African-Americans will on average experience worse health than whites, and women will experience higher rates of depression than men (McLeod et al., this volume).

In terms of identity meanings, most research at the person level examines the relative value of an identity as represented in the concept of self-esteem. Here self-esteem is typically defined as an overall assessment of worth, merit, or value—a continuum that ranges from positive valuations of the self to negative valuations of the self. A number of studies have found evidence that devalued identities are associated with lower self-esteem, but the magnitude of the correlations are generally weak and findings are not entirely consistent (Wells 2001). While the lion's

681 share of this research focuses on a generalized
682 self-evaluation (global self-esteem), researchers
683 also recognize that self-esteem can be specific to
684 particular dimensions or aspects of the self (spe-
685 cific self-esteem). For example, in one especially
686 influential study, researchers found that global
687 self-esteem had a relatively stronger relationship
688 with psychological well-being, but specific self-
689 esteem was a better predictor of actual behavior.
690 Moreover, this same study also found that the
691 relationship between specific “academic self-
692 esteem” and global self-esteem was a function of
693 how highly academic performance was person-
694 ally valued (Rosenberg et al. 1995).

695 Still, it would be inaccurate to claim that a
696 simple linear relationship exists between social
697 inequality and self-esteem. Instead, reviews of
698 this literature have concluded that the link be-
699 tween self-esteem and inequality is contingent on
700 dimensions of measurement (Wells 2001), con-
701 text (Crocker and Major 1989), life stage (Orth
702 et al. 2010), as well as variation in historical and
703 cultural discourse (Hewitt 2009). Given that the
704 self is nested within both an interaction system
705 and a cultural system, the fact that we find evi-
706 dence supporting a conditional relationship be-
707 tween person-specific self-esteem and macro
708 indicators of inequality is not surprising. And
709 given that self-esteem is concerned with only one
710 dimension of meaning, it is not surprising that the
711 magnitudes of these same correlations are rela-
712 tively weak.

713 The relative power of an identity at the per-
714 son level is most commonly associated with the
715 concept of self-efficacy. As originally formulated
AQ1 by Bandura (1968), self-efficacy refers to beliefs
717 about one’s ability to execute a particular course
718 of action. Put more generally, self-efficacy is
719 an assessment of one’s level of competence, ef-
720 fectiveness, control, and agency—traits that are
721 synonymous with powerful identities. Like self-
722 esteem, self-efficacy is typically conceptualized
723 as an overall personal assessment, generalized
724 trait, or disposition, but researchers examine
725 domain specific assessments of self-efficacy as
726 well (Gecas 1989; Schunk and Pajares 2009).
727 Also similar to the literature on self-esteem, re-
728 search on self-efficacy has concluded that indi-

729 vidual variation is a function of social context,
730 institutional setting, as well as background iden-
731 tities such as gender and ethnicity (Usher and
732 Pajares 2008). In other words, self-efficacy is
733 not simply a personality trait, but rather a type
734 of self-assessment that is very much tied to one’s
735 social location. One study (Boardman and Rob-
736 ert 2000), for example, found that low levels of
737 self-efficacy were associated with high levels of
738 neighborhood unemployment even after control-
739 ling for individual level measures of socio-econ-
740 omic status.

741 We can say that social inequality has been in-
742 ternalized when individual assessments of value
743 (self-esteem) and power (self-efficacy) become
744 part of a person’s self-definition. Understanding
745 the structural conditions under which this occurs
746 has been the focus of a tradition of research cat-
747 egorized under the rubric of social structure and
748 personality (McLeod and Lively 2003). Although
749 not explicitly concerned with the self or identity
750 meanings, this body of research has produced
751 persuasive evidence that objective social circum-
752 stances can transfer conditions of inequality to
753 the person with detrimental consequences for self
754 and identity.

755 The most compelling research in this regard
756 has examined the consequences of managerial
757 control, routinization of labor, and limited auton-
758 omy at the work site. Kohn and Schooler (1969,
759 1983) and their colleagues (Kohn and Slomczyn-
760 ski 1990), for example, have shown how value
761 and power in the workplace (one’s relationship
762 to the means of production) has enduring con-
763 sequences for particular types of psychological
764 functioning (e.g., self-confidence and intellec-
765 tual flexibility). This research tradition provides
766 strong evidence that objective conditions of in-
767 equality in the workplace not only have negative
768 effects on the self, but also have emotional reper-
769 cussions that harm the dynamics of family inter-
770 action (DiTomaso and Parks-Yancy, this volume;
771 Menaghan 1991). In addition, these adjustments
772 and alterations of identity toward a less valued
773 and less efficacious self may be transmitted
774 across generations as children “inherit” less pow-
775 erful and valuable forms of social capital from
776 their parents (Lareau 2003). Additional research,

777 more specifically focused on the development of
778 self-definitions, also finds evidence that autonomy
779 in the workplace is associated with higher esteem
780 and higher efficacy for individual workers
781 (Gecas and Seff 1989; Staples et al. 1984).

782 Still, we need to keep in mind that value and
783 power have a degree of independence, and under
784 certain conditions may be unrelated or may be
785 shaped by different identities. For example, some
786 research has found that while there appears to
787 be little difference between blacks and whites in
788 the U.S. in terms of self-esteem, blacks tend to
789 report lower levels of self-efficacy. Hughes and
790 Demo's (1989) analyses suggest that although esteem
791 and efficacy are positively correlated, they
792 are the outcomes of different social processes.
793 In a national survey of African Americans they
794 found that religion, family, and friends were the
795 most important predictors of self-esteem, while
796 self-efficacy was more highly dependent on age,
797 gender and socioeconomic status.

798 In sum, research on the relationship between
799 identity and inequality can be understood as an
800 examination of value and power at three distinct
801 but interdependent levels of analysis. At the level
802 of culture, inequality is reflected in differing
803 amounts of value and power associated with generalized
804 identity categories. This is most evident
805 in patterns of resource distribution defined by
806 status hierarchies that are often widely accepted
807 as natural or just. At the level of interaction, inequality
808 is experienced in face-to-face relationships
809 where identity signifiers initiate asymmetrical
810 relations of deference and dominance, resulting
811 in patterns of positive and negative attention,
812 fear and intimidation, and an interactive demeanor
813 that is either poised or insecure. At the level
814 of the person, value and power are internalized
815 in the form of self-esteem and self-efficacy.
816 These effects accumulate over the life course and
817 are often associated with poor physical and psychological
818 well-being.

819 Thus far I have primarily focused on identity
820 as a product of inequality and as the consequence
821 of a larger social system. But the self is also a
822 social force and an instrument in the production
823 and alteration of inequality. This is the self as a
824 social process or mechanism.

The Self as a Social Process

825

826 A common assertion among so-called postmodern
827 theorists is that the self is merely an effect
828 or product of social practices, and that it has no
829 objective reality outside of historically specific
830 systems of discourse (e.g., Denzin 1992; Foucault
831 1988; Gergen 1991). In contrast, sociologists
832 grounded in the tradition of American pragmatism
833 and symbolic interactionism argue that the self
834 emerges from cognitive capacities and social
835 relationships to become a real, objective, and
836 causal force in society. In this way, the self is
837 said to be a subject and an object, a social product
838 and a social force (Callero 2003; Owens 2003;
839 Rosenberg 1979; Weigart and Gecas 2003). The
840 distinction is important for the study of social
841 inequality. When the agentic self is dismissed
842 as a linguistic epiphenomenon, or as an artifact
843 of discourse, it is difficult to theorize individual
844 and collective resistance to systems of inequality
845 (Best 1994; Collins 1997). As noted earlier,
846 identities may be historically, culturally and situationally
847 specific, but self-reflection is universal. Understood
848 in this way we can appreciate the self as a social
849 mechanism (Gross 2009; Smith 2010), or a set of
850 relatively fixed processes—mostly unobservable—that
851 convert social interaction into higher order patterns
852 of equality and inequality.

853 Recognizing the self as a social process
854 guards against the temptation of reducing the self
855 to a simple predictor or outcome variable. This
856 is increasingly the direction of some scholars
857 (mostly psychologists), who have contributed
858 to a catalog of self-dimensions that share much
859 with personality theory (e.g., Swann et al. 2007).
860 When treated as a variable, the self loses part
861 of its complexity, explanatory power, and sociological
862 significance. As Smith (2010, p. 289) notes, "Variables
863 do not make things happen in the world. Human
864 persons do." And the self is the primary mechanism
865 by which persons make things happen—both good
866 and bad. This is not to say that the self is at all
867 times and places operating as a social force, or
868 that the self is the only social process in operation.
869 Rather, under particular circumstances the self will
870 operate as an instrument in the production of certain
871 observ-

Table 13.2 Reproduction and resistance at three levels of analysis

Level of analysis	Self as process in the production of inequality	
Culture	Reproduction	Resistance
	Power and value for generalized identity categories accepted as legitimate	Social movement identity mobilization; contesting cultural meanings; boundary work
Interaction	Maintenance of an interaction order; covering, passing, affect control	Autonomous meaning systems; strategic disruptions; infra politics
Person	Self-verification; identity control	Identity salience; identity as resource

872 able outcomes. Social inequality is one such out- 912
 873 come, and for this reason a complete explanation 913
 874 of the production, reproduction, and alteration of 914
 875 social inequality requires a theory of the self as a 915
 876 social process. 916

877 Table 13.2 presents a basic framework for 917
 878 examining the self as a social process at three 918
 879 levels of analysis. Here the focus is limited to 919
 880 either reproduction or resistance in the produc- 920
 881 tion of inequality. This is not a hard boundary; 921
 882 theories focusing on reproduction do not deny 922
 883 the possibility of resistance, and processes that 923
 884 explain resistance assume a high degree of social 924
 885 reproduction. Nevertheless, these same self- 925
 886 processes are distinct enough to justify a categori- 926
 887 cal separation. 927

888 Generally speaking, the self contributes to 928
 889 reproduction when stocks of habits (social prac- 929
 890 tices, modes of response) go unchallenged as 930
 891 solutions for prior problematic situations. These 931
 892 solution patterns continue in the form of tradi- 932
 893 tion, momentum, and unchallenged assumptions 933
 894 until exposed by new problematic encounters 934
 895 (Joas 1996, p. 126–144). Resistance is the visible 935
 896 response of individuals and groups struggling to 936
 897 resolve perceived problems of inequality. This 937
 898 can be evident, for example, in the street demon- 938
 899 strations of a political revolution or a brief objec- 939
 900 tion and apology sequence between two actors. 940

901 To say that the self is a process or mechanism 941
 902 through which problem situations are encoun- 942
 903 tered, interpreted, and collectively resolved, does 943
 904 not mean that inequality is essentially symbolic 944
 905 or that solutions to problematic events are always 945
 906 just and equitable. We must not forget that repro- 946
 907 duction of inequality is often accomplished with 947
 908 brute force, torture, killing and imprisonment, 948
 909 and is frequently associated with intimidation, 949
 910 threats, and fear. Similarly, collective solutions 950
 911 are not necessarily just, and are often enabled by 951

the control of material resources in the form of 912
 land, money, and weapons. As a consequence, the 913
 resolution of a problem will regularly favor those 914
 with more power. For example, the exploitation 915
 of workers in an office or factory might become 916
 problematic and lead to the formation of a labor 917
 union and collective bargaining. A new contract 918
 may improve benefits and working conditions— 919
 offering a temporary solution—but in the end, 920
 the managers and owners are still in control and 921
 continue to operate with more power. 922

923 Individuals, groups and institutions that are 923
 924 advantaged by the unequal distribution of value 924
 and power of particular identities have an inter- 925
 est in regulating and reinforcing systems of in- 926
 equality, while those who are disadvantaged by 927
 the process have an interest in altering or erasing 928
 the meanings associated with the identity. Con- 929
 sistent with the organizing framework presented 930
 in Table 13.2, I will review research on repro- 931
 duction and resistance at three different levels of 932
 analysis. 933

934 The Level of Culture

935 Reproduction at the level of culture occurs when 935
 936 the value and power of an identity category is pro- 936
 937 cessed by the self as natural, legitimate, or just. 937
 938 When inequality is either invisible, passed off as 938
 939 acceptable, or dismissed as an inconsequential 939
 940 difference, there is no pressure on persons to ex- 940
 941 amine the meaning of an established identity cat- 941
 942 egory. Thus, when women and ethnic minorities 942
 943 are portrayed in film, television, and print as sub- 943
 944 servient and weak, and when these images go un- 944
 945 challenged, inequality is reproduced at the level 945
 of culture (Bullock et al. 2001). As Barker (2005, 946
 p. 503) notes, “Issues of cultural representation 947
 are political because intrinsically they are bound 948

up with questions of power through the inevitable process of selection and organization that are part of the representational process. The power of representation lies in its enabling some kinds of knowledge to exist while excluding other ways of seeing.”

Lamont’s (2000) research reminds us, however, that reproduction is not a simple process of the powerful demeaning the powerless. In her study of workingmen she found that devalued meanings for identity categories are often reproduced within boundaries of the same oppressed class, and between boundaries of race, in a manner that prevents class solidarity. As a consequence “Workers often judge members of other groups to be deficient in respect to the criteria they value most” (p. 241). This discovery complements tests of social identity theory that find that actors experience positive self-esteem by conforming to their own group identity, while denigrating outsiders (cf., Scheepers et al. 2009). To the extent that this self-process leads to intergroup stereotyping, prejudice, and discrimination, it can reproduce already existing identity meanings associated with group membership (Riesch 2010). As a consequence, the cultural hegemony of various identity categories is not experienced as problematic. Instead, the injurious meanings and negative cultural representations associated with identity categories go unchallenged. The end result is the reproduction of inequality within the cultural system. Indeed, one could argue that under conditions of reproduction at this level, the self as a mechanism is not immediately engaged.

However, resistance at the level of culture is always on the horizon because reproduction cannot be maintained indefinitely under conditions of inequality. Persons who are categorized under a relatively powerless or devalued identity will eventually object to the inherent indignity and seek to alter their circumstance. Following Gramsci’s (1971) classic distinction between “war of position” and “war of maneuver,” some acts of resistance may be focused on altering the meanings of the identity categories (position), while others may be focused on altering the distribution of resources and the coercive powers that serve to legitimate the meanings (maneuver).

But as Nagel’s (1995) analysis of Native American identity shows, the material and symbolic are intertwined. Collective acts of resistance are necessary to change the meanings of generalized identity categories, and collective identities are central to the mobilization of oppositional groups and oppositional social movements (Melucci 1996; Polleta and Jasper 2001). For resistance to succeed at this level, a collective identity must be politicized (Klandermans and de Weerd 2000; Snow and Owens, this volume; Taylor and Whittier 1992), and this means that the self must begin to process new identity meanings.

An evolving and particularly promising line of research on resistance to inequality can be found in the examination of symbolic boundaries as applied to identity categories (Lamont 1992; Lamont and Molnár 2002). In the case of collective identity, *boundary work* refers to the strategies and practices used to contest the cultural meanings of an identity (Owens et al. 2010). This includes moves to deconstruct boundaries as well as attempts to reinforce boundaries so as to mobilize on the basis of identity. For example, by redefining the meaning of “queer,” activists have sought to construct a more inclusive social movement of people with a wide range of sexualities. In this instance, resistance is not simply a political struggle for equal rights under the law, but also a cultural struggle to establish new meanings and new identities (Bernstein 2005, p. 26; Rimmerman 2002). On the other hand, some lesbian feminist communities have sought to reinforce oppositional gender categories and establish a privileged idealization of female as a way to challenge misogyny embedded in traditional identity meanings. Such a strategy can serve to enhance collective consciousness, but as Taylor and Whittier (1999, p. 178) conclude, it can also promote “a kind of cultural endogamy, that, paradoxically, erects boundaries within the challenging group, dividing it on the basis of race, class, age religion, ethnicity, and other factors,” a complication that may produce unintended consequences. Gamson (1998), for example, found that the representation of gay people in television served to legitimate middle-class gay people

1044 while simultaneously invalidating meanings as- 1082
 1045 sociated with poor and working-class gays.³ 1083

1046 **The Level of Interaction**

1047 Individuals cannot control the meanings of value 1084
 1048 and power in isolation; the value and power of an 1085
 1049 identity is an interactional accomplishment (e.g., 1086
 1050 Speer 2012). Some interaction work will repro- 1087
 1051 duce inequality and some will contribute to re- 1088
 1052 sistance and the revision of identity meanings— 1089
 1053 both personally and culturally. In both instances 1090
 1054 we find evidence of the self operating as a social 1091
 1055 process or mechanism. 1092

1056 Reproduction of identity inequality at the 1093
 1057 level of interaction has received the lion's share 1094
 1058 of attention. Thus, Goffman's catalog of self- 1095
 1059 based strategies associated with the concept of 1096
 1060 identity work is principally focused on methods 1097
 1061 that reproduce the status quo. When actors work 1098
 1062 to avoid confrontation, seek validation for a de- 1099
 1063 valued identity status, and participate in an in- 1100
 1064 teraction exchange that preserves the interaction 1101
 1065 order, they are indirectly reproducing social in- 1102
 1066 equality. Here we find a self that surrenders to the 1103
 1067 dominant order and seeks to conceal oppositional 1104
 1068 or discrepant identity categories so as to avoid 1105
 1069 confrontation. This is evident, for example, when 1106
 1070 an actor strategically controls markers of a deval- 1107
 1071 ued identity. Goffman (1963) highlights two such 1108
 1072 self-processes in his analysis of stigma. *Passing* 1109
 1073 refers to the tactic of concealing or obliterating 1110
 1074 stigma signs, as when a member of a devalued 1111
 1075 ethnic group changes his or her last name or 1112
 1076 speaks with an intentional accent. *Covering*, on 1113
 1077 the other hand, refers to tactics used to keep ob- 1114
 1078 vious stigmatized identities from looming large, 1115
 1079 as when a blind person wears dark glasses for the 1116
 1080 sake of the sighted. Both strategies suggest a self 1117
 1081 that is aware of an interaction order, and is will- 1118

1082 ing to cede ground to those with more value and 1083
 1084 power so as to avoid additional stigmatization 1084
 (see Link et al., this volume).

1085 To achieve a creditable self, to avoid being 1085
 1086 discredited, actors must not violate the structure 1086
 1087 of the social encounter. "The key factor in this 1087
 1088 structure is the maintenance of a single definition 1088
 1089 of the situation, this definition having to be ex- 1089
 1090 pressed, and this expression sustained in the face 1090
 1091 of a multitude of potential disruptions" (Goffman 1091
 1092 1959, p. 254). Preserving the structural require- 1092
 1093 ments of the interaction order is often a collec- 1093
 1094 tive priority. Indeed, Goffman argues that a tem- 1094
 1095 porary loss of face and the embarrassment that it 1095
 1096 engenders has a social function wherein identity 1096
 1097 is sacrificed for the moment in the name of the 1097
 1098 larger principles of system reproduction: "Social 1098
 1099 structure gains elasticity; the individual merely 1099
 1100 loses composure" (Goffman 1967, p. 112).

1101 Cultural meanings for an identity category 1101
 1102 establish a set of generalized expectations that 1102
 1103 initiate identity work at the level of interaction. 1103
 1104 How these expectations shape behavior has been 1104
 1105 the concern of a several perspectives, including 1105
 1106 labeling theory (e.g., Becker 1963), status con- 1106
 1107 struction theory (e.g., Ridgeway 2006), role the- 1107
 1108 ory (e.g., Biddle 1986), and several versions of 1108
 1109 symbolic interactionism (e.g., Stryker 1980). A 1109
 1110 particularly relevant program of research in this 1110
 1111 latter tradition is Affect Control Theory (ACT). 1111
 1112 Affect Control Theory provides a formal model 1112
 1113 of the self as a mechanism at the level of inter- 1113
 1114 action. This theory is principally concerned with 1114
 1115 explaining (1) how meanings at the cultural level 1115
 1116 are used to produce predictable patterns of in- 1116
 1117 teraction; and (2) how innovative solutions are 1117
 1118 produced when deviations from cultural mean- 1118
 1119 ings occur at the level of interaction (Robinson 1119
 1120 and Smith-Lovin 2006). ACT begins with the as- 1120
 1121 sumption that *sentiments* (generalized affective 1121
 1122 responses) attached to identity categories are used 1122
 1123 in the generation of *transient impressions* (situ- 1123
 1124 ated meanings). Actors are motivated to maintain 1124
 1125 consistency between sentiments and impressions. 1125
 1126 If a discrepancy between sentiments and impres- 1126
 1127 sions occurs (deflections), the self will actively 1127
 1128 work to regain consistency of meanings, and in 1128
 1129 the event that deflections become too large, and 1129

³ But resistance is not limited to a rejection of devalued meanings or the mobilization of identity groups, it can also be found in strategies to expose the invisible advantage of traditionally valued identity categories, as in the case of scholarship designed to highlight the privilege of whiteness (e.g., Rothenberg 2012).

1130 attempts at realignment or readjustment are un- 1178
1131 successful (i.e., the control system fails), conflict 1179
1132 emerges and actors will attempt to redefine the 1180
1133 situation, or in more extreme cases seek out dif- 1181
1134 ferent settings in an attempt to restore sentiments. 1182

1135 Compare, for example, the generalized mean- 1183
1136 ings that are attached to the identities of “em- 1184
1137 ployer” and “employee”. In the context of a 1185
1138 specific workplace, these sentiments should gener- 1186
1139 ate transient impressions consistent with the 1187
1140 expectation that an employer will be more highly 1188
1141 valued and should have more power than the em- 1189
1142 ployee. At the work site, for instance, it might be 1190
1143 assumed that employers will “hire” and “direct”, 1191
1144 while employees will “work” and “follow”, but 1192
1145 in another context, say at the employee’s home, a 1193
1146 different set of transient impressions would like- 1194
1147 ly prevail. To the extent that sentiments and im- 1195
1148 pressions of employer and employee match, situ- 1196
1149 ated behavior can be expected to reproduce the 1197
1150 asymmetry of the relationship. When employers 1198
1151 or employees deviate from these contextualized 1199
1152 expectations, however, readjustments will occur. 1200
1153 This might be a minor realignment of personal 1201
1154 impressions (“overly demanding employer” or 1202
1155 “insubordinate employee”), but it could also lead 1203
1156 to a more collective readjustment that results in a 1204
1157 structural alteration of the workplace or the gener- 1205
1158 ation of new generalized sentiments at the level 1206
1159 of culture. 1207

1160 In general terms, ACT simply predicts ex- 1208
1161 pected behavior, and in this sense it is not an in- 1209
1162 novative approach to the study of inequality. On 1210
1163 the other hand, it does offer a parsimonious cy- 1211
1164 bernetic model that has the potential to account 1212
1165 for both the reproduction of value and power, as 1213
1166 well as the circumstances that may lead to resis- 1214
1167 tance and change when these meanings result in 1215
1168 repeated deflections. Promising lines of research 1216
1169 in this direction have explored ACT in relation 1217
1170 to gay-lesbian identities (Smith-Lovin and Dou- 1218
1171 glass 1992), social movement solidarity (Britt 1219
1172 and Heise 2000), and equity in marital relation- 1220
1173 ships (Lively et al. 2010). At this point, however, 1221
1174 most empirical research has focused on the pre- 1222
1175 diction of emotional reactions and the relation- 1223
1176 ship between identities and emotions; an explicit 1224
1177 focus on the relationship between social inequal-

ity at the levels of both culture and interaction is 1178
mostly absent. 1179

1180 When inequalities at the level of interaction 1180
1181 are opposed, resistance can be either individual 1181
1182 or collective; in both instances the self is acti- 1182
1183 vated as a social process. Disrupting entrenched 1183
1184 systems of inequality is difficult, especially if a 1184
1185 single person initiates change. A lone objection 1185
1186 to the presumed definition of the situation may 1186
1187 temporarily disrupt interaction, or succeed in dis- 1187
1188 tancing an actor from a negatively valued identi- 1188
1189 ty, but individual strategies do not alter the inter- 1189
1190 action order. In fact, evidence suggests that iden- 1190
1191 tity refusal (negotiating a Not-Me) may actually 1191
1192 serve to reinforce hegemonic definitions through 1192
1193 an implicit acknowledgement and acceptance of 1193
1194 the meanings associated with a less valued and 1194
1195 less powerful identity (Killian and Johnson 2006; 1195
1196 Pyke and Johnson 2003). Schwalbe et al. (2000) 1196
1197 call this interactive process *defensive othering* 1197
1198 because it involves accepting the devalued iden- 1198
1199 tity meanings in others, while at the same time 1199
1200 working to distance the same meanings from 1200
1201 one’s own identity. 1201

1202 For the most part, individual resistance to an 1202
1203 identity meaning cannot succeed without the sup- 1203
1204 port of a team of allies. When collective resis- 1204
1205 tance does occur at the level of interaction, it typ- 1205
1206 ically involves cooperation among persons who 1206
1207 are similarly situated and who experience com- 1207
1208 mon deprivations and indignities. An extensive 1208
1209 body of ethnographic research on exploitation in 1209
1210 the workplace has documented the interpersonal 1210
1211 strategies and tactics workers use to oppose and 1211
1212 defy management control. Although this body 1212
1213 of work is not explicitly concerned with the self 1213
1214 as a social process or social force, the interven- 1214
1215 ing operation of the self can be inferred. Hod- 1215
1216 son (2001), for example, shows that when basic 1216
1217 human dignity is denied in the workplace through 1217
1218 abuse and manipulation by managers, workers 1218
1219 actively and cooperatively engage in four types 1219
1220 of defiance: resistance, citizenship, the creation 1220
1221 of independent meaning systems, and the devel- 1221
1222 opment of social relations at work. As used by 1222
1223 Hodson, *resistance* encompasses destructive acts, 1223
1224 sabotage, and theft, as well as foot-dragging and 1224
1225 the withdrawal of cooperation. *Citizenship* refers 1225

to actions that are intended to enhance self-worth despite the indignities of the immediate context. These are enterprising activities that serve as alternative sources of pride—doing a job well, perfecting a skill – and are largely independent of the formal demands of power. *Autonomous meaning systems* also emerge among workers who seek value, purpose, and control within an overarching system of supervision and management. This can involve personal rituals or the display of symbols that represent life outside of the workplace. Examples include engaging in games during lunch break, joking with coworkers, or personalizing a workspace by exhibiting family photos, sport memorabilia or hobby emblems. Finally, fundamental to all of these strategies is *coworker relations*—where collective identity is formed separate from management. Here informal ties and patterns of mutual assistance can develop into unofficial workplace roles and identities. When group values and leadership positions emerge from coworker relations, a united opposition to oppressive conditions is possible.

Other ethnographic studies have documented similar acts of collective resistance in schools (e.g., MacLeod 2009; Willis 1977) and neighborhoods (e.g., Anderson 1999; Harding 2010). In all of these accounts we find rich descriptions of situated resistance that often occurs behind the backs of the powerful, inside commanding institutional structures, and against the interests of state and market forces. This is a type of *infrapolitics* where opposition is often subtle and intentionally obscure (Scott 1990). As a result, evidence of structural change occurring as a consequence of these acts is difficult to locate. To be effective, strategic disruptions of the interaction order must be public (Schwalbe and Shay, this volume). In this way, refusal has an audience, solidarity has the potential to germinate, and sympathetic supporters gain confidence. When successful, disruptions that were once defined as personal affronts are redefined as threats to an established system of power. This is not power in the narrow sense of politics, but power embedded in structures of meaning. Altering political systems through revolutionary action can occur

quickly, but changes to an interaction order are usually gradual and more difficult to achieve.

One reason it is difficult to change an interaction order is that every encounter engages multiple identities that interact in complex ways. For example, workplace resistance involves more than one's work identity; ethnicity overlaps with religion; neighborhoods intersect with class; and gender lies behind all of these. Ridgeway and Smith-Lovin (1999, p. 193) make this point in their analysis of the gender system when they note: "the interactional conduct of gender is always enmeshed in other identities and activities. It cannot be observed in a pure, unentangled form. Gender is a background identity that modifies other identities that are often more salient in the setting than it is." This suggests that a more complete understanding of the operation of the self at the level of interaction requires an examination of the self as a process or mechanism in its own right.

The Person Level

At the person level, the focus is on the internal structures and processes of identity construction. These are the cognitive processes and mechanisms associated with symbolic interaction. In the case of social inequality, most theory and research at this level tends to emphasize processes that contribute to reproduction. Considerably less attention has been paid to self-based processes associated with social change and struggles against inequality. I will first review models of reproduction at the person level before discussing corresponding explanations of resistance.

A common understanding of the self is that it is a reflexive process of adjustment and regulation. We see this, for example in Mead's description of the I and Me as separate parts or phases of a unified process. By taking the attitudes of others, an organized social *Me* emerges, and the self reacts to this phase of the self as an *I*. Taken together, the reflexive process allows for conscious, socially adjusted action, as well as novel, unpredictable, and creative experience. Contemporary elaborations of Mead's basic framework have main-

1318 tained the emphasis on the self as a regulating
1319 mechanism. The most influential elaborations of
1320 the self as a mechanism emphasize processes and
1321 motives that result in self-consistency, congruity,
1322 and/or balance (Turner 2006, pp. 368–370). As a
1323 consequence, these models are well positioned to
1324 explain the reproduction of inequality.

1325 For example, Burke and Stets' (2009; Stets
1326 2006) identity control theory postulates a cyber-
1327 netic model in which actors are motivated to veri-
1328 fy an identity standard—the relatively stable self-
1329 meanings associated with a particular identity
1330 category.⁴ If feedback from reflected appraisals
1331 in a particular situation is inconsistent with the
1332 identity standard, actors will engage in action so
1333 that their perceptions are congruent with the stan-
1334 dards. When an identity is verified in a situation,
1335 persons experience positive emotions, and when
1336 identity verification fails, negative emotions are
1337 produced. Taken together, the control process
1338 leans toward stability and reproduction of exist-
1339 ing self-meanings and the dominant structural
1340 arrangements associated with these meanings.
1341 Identities with less value and power have a lim-
1342 ited capacity for independence and will be sub-
1343 ject to greater control. We see this, for example,
1344 in a study of newly married couples that found
1345 that spouses with less powerful and valued iden-
1346 tities outside of marriage (less education, lower
1347 prestige occupation) were more likely to have
1348 their self-meanings influenced by a higher-status
1349 spouse. On the other hand, spouses with higher-
1350 status identities reported self-meanings relatively
1351 independent of their lower status spouse (Cast
1352 et al. 1999).

1353 A similar specification of the self as a mecha-
1354 nism of reproduction is represented in self-ver-
1355 ification theory (Swann 1983). The argument
1356 here is that people seek confirmation of already

1357 established self-views, including social identi-
1358 ties, because of a preference for a coherent, order-
1359 ly, stable and predictable social experience.
1360 As a result, individuals will choose to interact
1361 with others who see them as they see themselves,
1362 even under instances when the meaning of self is
1363 negative (Kwang and Swann 2010; Swann 1996).
1364 For example, Swann et al. (2002) found that col-
1365 lege students with negative self-views were gen-
1366 erally unsatisfied with roommates that provided
1367 positive appraisals. The inconsistency between
1368 self-perception and others' feedback motivated
1369 students to seek alternative living arrangements.
1370 And in cases where ending the relationship is not
1371 possible, the evidence indicates that people will
1372 seek to withdraw psychologically by limiting
1373 their emotional engagement and expressions of
1374 commitment (Swann et al. 1994).

1375 Both identity control theory and self-verifica-
1376 tion theory offer models of the self as a mecha-
1377 nism that facilitates consistency, predictability,
1378 and reproduction of the status quo. However,
1379 neither theory precludes the self from contribut-
1380 ing to acts of collective resistance, and there is
1381 some evidence that the self-verification process
1382 can actually function to promote social change.
1383 Pinel and Swann (2000), for example, argue that
1384 under certain conditions the self-verification pro-
1385 cess can motivate the decision to become active
1386 in a social movement. This is particularly true
1387 for social movement identities that are consistent
1388 with already established self-views. McAdam
1389 and Paulsen's (1993) study of the 1964 Missis-
1390 sippi Freedom Summer Project illustrates this
1391 latter point. They found that success in recruit-
1392 ing participants depended on (1) the occurrence
1393 of a specific recruiting attempt; (2) a successful
1394 blending of movement and identity; (3) support
1395 from persons tied to the identity; and (4) the
1396 lack of a strong opposition from persons associ-
1397 ated with other salient identities. In other words,
1398 under certain conditions, social change move-
1399 ments may advance *because* the self operates in
1400 a manner that seems to privilege consistency and
1401 equilibrium (see Snow and Owens, this volume).

1402 But the McAdam and Paulsen study is also
1403 noteworthy because it highlights the relevance
1404 of *identity salience* as a self-process in *resistance*

⁴ A subtle but important difference between Identity Control Theory and Affect Control Theory is found in the reference level for the control system. Affect Control Theory focuses on balance within the interaction system, and assumes a motivation to maintain expected meanings for the contextualized actions of self and other. Identity Control theory, on the other hand, focuses more exclusively on the individual and the motivation to maintain consistent meanings within the self-system.

1405 movements. The idea here is that identities are
 1406 organized in terms of a cognitive salience hierar-
 1407 chy where personal commitments are prioritized.
 1408 Social movement success depends on activists
 1409 with salient movement identities. This operation
 1410 of the self has been described in different terms
 1411 by a range of self theorists (McCall and Simmons
 1412 1978; Rosenberg 1979; Stryker 1980; Turner
 1413 1978), and although there are key differences in
 1414 conceptualization, there is compelling empirical
 1415 evidence supporting the basic idea that the likeli-
 1416 hood of an identity being invoked in a particular
 1417 situation depends in part on its relative position
 1418 in an internal hierarchy of all identities (Callero
 1419 1985; Hoelter 1983; Stryker and Serpe 1982).
 1420 The more salient a social movement identity is,
 1421 the more likely it will be invoked, and the more
 1422 likely it will guide action. McAdam and Paulsen
 1423 found that a highly salient movement identity,
 1424 combined with strong social support for activism
 1425 in other identity networks, was a key predictor
 1426 of participation in the Freedom Summer project.
 1427 In other words, when identities are politicized
 1428 and become salient within the self-structure, they
 1429 serve as resources for resistance.

1430 Recognizing the operation of a salience struc-
 1431 ture and the influence of salient identities is im-
 1432 portant to explaining movement participation, but
 1433 it does not address the more fundamental ques-
 1434 tion of how social movement identities become
 1435 salient in the first place. While a salience hierar-
 1436 chy is a relatively stable and enduring structure,
 1437 it may be altered over time as new identities are
 1438 formed, social networks change, and new oppor-
 1439 tunities arise. Indirect evidence from studies of
 1440 non-movement identities points to positive social
 1441 ties, reoccurring actions tied to the identity, and
 1442 intense relationships, as factors associated with
 1443 identity salience (Callero 1985; Nuttbrock and
 1444 Freudiger 1991; Stryker and Serpe 1982). How-
 1445 ever, forces initiated at a higher level of analysis
 1446 also condition the development of a salient social
 1447 movement identity. Economic booms and busts,
 1448 war, disease epidemics, demographic shifts, mi-
 1449 gration patterns, governmental policy changes,
 1450 environmental disruptions, all have the potential
 1451 to alter social relationships and simultaneously
 1452 impact the salience hierarchies of multiple actors

1453 who are similarly situated. This is what Klander- 1453
 1454 mans and de Weerd (2000) found in a panel study 1454
 1455 of Dutch farmers who engaged in protests over 1455
 1456 a change in agricultural policy during the mid- 1456
 1457 1990s. Their findings show that over time the 1457
 1458 relationship between protest participation and 1458
 1459 group identification increased, a finding that they 1459
 1460 interpreted as evidence of the growing salience 1460
 1461 and political relevance of the farmer identity. 1461

1462 In sum, a salience hierarchy is a type of self- 1462
 1463 process that structures action and reflects social 1463
 1464 relationships. When outside forces threaten the 1464
 1465 relative value or power of an identity, relation- 1465
 1466 ships change, hierarchies are restructured, and 1466
 1467 behavior is adjusted. It is helpful here to think 1467
 1468 of identities as resources that enable action and 1468
 1469 therefore have the potential to enable resistance 1469
 1470 to structures of inequality. Sometimes the resis- 1470
 1471 tance is long-term and widespread, resulting in 1471
 1472 enduring changes for both self and society (e.g., 1472
 1473 Tilly 2004). Other times, however, the protest 1473
 1474 may be small, narrow, and quickly extinguished 1474
 1475 by powerful forces of the status quo (e.g., Cal- 1475
 1476 lero 1995). While contemporary elaborations of 1476
 1477 Mead's theory of self have tended to emphasize 1477
 1478 the manner in which self-processes serve as regu- 1478
 1479 lating mechanisms, we should not forget Mead's 1479
 1480 corresponding assertion that novelty, change, and 1480
 1481 the emergence of new structures, is a fundamen- 1481
 1482 tal characteristic of the social process. 1482

1483 Conclusion

1484 In this chapter I have argued for a more inten- 1484
 1485 tional, extensive, and integrated examination of 1485
 1486 self and identity processes as they relate to social 1486
 1487 inequality. While multiple avenues of research 1487
 1488 at different levels of analysis point to the sig- 1488
 1489 nificance of self and identity in the production, 1489
 1490 reproduction, and alteration of social inequality, 1490
 1491 this body of work is not well integrated. Think- 1491
 1492 ing across different levels of analysis has the poten- 1492
 1493 tial to offer new understandings of social inequal- 1493
 1494 ity and a more complex description of how the 1494
 1495 unequal distribution of material resources is ac- 1495
 1496 complished, maintained, and altered. This is espe- 1496
 1497 cially true for analyses of resistance and posi- 1497

1498 tive social change where theory and research are
1499 less developed.

1500 It has become somewhat of a sociological truism
1501 to assert that structures of inequality are the
1502 consequence of human interaction, and that inter-
1503 action is constrained by structures of inequality.
1504 But this basic principle should not be interpreted
1505 to mean that all structures of inequality are *re-*
1506 *ducible* to interaction. To understand this subtle
1507 but important feature of the micro-macro link re-
1508 quires an appreciation of the philosophical notion
1509 of emergence—an idea central to Mead's theory
1510 of the self (cf. Mead 1932, 1934), and one that
1511 has more recently been developed by a number
1512 of contemporary sociological theorists (see espe-
1513 cially, Porpora 1993; Sawyer 2001, 2002; Smith
1514 2010). By emergence I mean a dialectical process
1515 whereby lower-level structures give rise to high-
1516 er-level structures, and higher-level structures
1517 constrain the same lower-level structures from
1518 which they emerged. We can say, for example,
1519 that macro patterns of inequality (e.g., class di-
1520 visions, institutional racism, relations of patriar-
1521 chy) *emerge* from symbolic interaction and de-
1522 pend on persons with selves and identities. But,
1523 at the same time, these larger social structures
1524 (patterns of social relationships) possess a level
1525 of reality and coercive power over and above
1526 selves, identities, and the rules of interaction. To
1527 this point, Porpora (1993, p. 220) provides a rel-
1528 evant example:

1529 Rules of allocation may make workers dependent
1530 on capitalists for jobs, but the dependency itself is
1531 neither a behavior nor a rule. There are no rules
1532 saying that workers are to depend on capitalists
1533 for their livelihood. Such dependency is a relation-
1534 ship. This relationship, to be sure, is a consequence
1535 of rules of allocation, but it is a consequence that
1536 itself has consequences. It enables the capitalist to
1537 coerce the worker into submitting to (among other
1538 things) the rules of authorization that obtain at the
1539 job site.

1540 To be sure, the dependency relationship iden-
1541 tified above can be categorized, known, and
1542 experienced through the identity categories of
1543 worker and capitalist. We can also assume that
1544 the relationship emerged from symbolic interac-
1545 tion, and that meanings associated with these two
1546 identities are tentative and negotiable. But this

1547 does not detract from the coercive efficacy of the
1548 relationship itself. The principle of emergence
1549 offers one way of recognizing different levels of
1550 social reality without being forced into a false
1551 choice between macro and micro explanations.

1552 Consistent with the basic notion of emergence,
1553 I have argued here that identities emerge from
1554 selves, and selves emerge from persons. This
1555 means that identities have a level of independence
1556 over and above selves—even though identity cat-
1557 egories cannot exist without the capacity for self-
1558 hood. This also means that the self has a level of
1559 independence over and above personhood—even
1560 though selfhood requires the corporal capacities
1561 of human persons. The same principle is at work
1562 when lower-level processes of self and identity
1563 assist in converting interaction into higher-order
1564 structures of equality and inequality. Relation-
1565 ships of inequality emerge from self and identity
1566 processes, but these structures also work back to
1567 enable and constrain human persons. Again, rec-
1568 ognizing the principle of emergence can facili-
1569 tate an integration of macro and micro accounts
1570 of inequality.

1571 Human persons are like other living organ-
1572 isms in that they must navigate a material world
1573 to secure resources necessary for survival. And
1574 like other living organisms, this quest will usu-
1575 ally produce patterns of stratification and rela-
1576 tions of dominance. But the primary argument
1577 of this chapter has been that social inequality in
1578 human societies is unique in that it emerges from
1579 our capacity for symbolic interaction. Evidence
1580 presented in this chapter suggests that the rules
1581 for allocating resources, the processes through
1582 which resources obtain value, and the mecha-
1583 nisms linking individuals to resources, involve
1584 selves and identities at several levels of analy-
1585 sis. This does not mean that self and identity are
1586 always and everywhere actively engaged in the
1587 reproduction of inequality. Indeed, one of the
1588 objectives of a social psychology of inequality
1589 should be to explain when, and how, lower level
1590 processes of interaction contribute to the emer-
1591 gence of higher-level structures of inequality.
1592 More macro oriented researchers, on the other
1593 hand, should not dismiss the symbolic processes
1594 associated with self and identity, for it is through

the creative and problem-solving capacities of the self that calcified social relationships are dissolved, and entrenched structures of inequality are disrupted.

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Chapter 13: Author Query

AQ1. “Bandura 1968” is cited in the text but not present in the reference list. Please provide a full reference.